

A large, thick orange outline of an egg is positioned on the left side of the page, spanning across the boundary between the light grey top and the dark orange bottom.

Executive Officer Disclosure

NULIS Nominees (Australia) Limited

November 2018

Executive Officer Summary

Executive Officers of NULIS Nominees (Australia) Limited including changes since June 2018 are:



Directors		Position
P Promnitz	December 2017 - current	Independent Non Executive Director, Chair
T McCredden	May 2014 - current	Independent Non Executive Director
P O'Neal	February 2011 - current	Independent Non Executive Director
A Schoenheimer	August 2016 – current	Independent Non Executive Director
A Gale	October 2016 – current	Non Executive Director
P Gupta	November 2016 - current	Non Executive Director
Other Executive Officers		
B Marriott	Current	Chief Operating Officer, NULIS Nominees (Australia) Limited
G Lloyd	Current	Chief Executive Officer, MLC
D Murphy	Current	Chief Risk Officer, Wealth
S Harvey	Current	General Manager Finance, Customer Products & Services, Wealth
G Mulcahy	Current	Executive General Manager, Wealth Strategic Initiatives
A Morgan	Current	General Manager Audit, Customer Experience / Consumer Banking & Wealth
J Armitage	Current	General Manager, Asset Management & Chief Investment Officer
R Jansen	Current	General Manager, Wealth Operations
Former Executive Officers		
N Smith	Resigned June 2018	Independent Non-Executive Director, Chair
E Horton	Resigned June 2018	Independent Non-Executive Director
A Hagger	Resigned September 2018	Chief Customer Officer, Consumer Banking & Wealth Management
M Lawrance	Resigned November 2018	Executive General Manager, Customer Products & Services Wealth, & Chief Executive Officer, MLC Super

Peter Promnitz

Independent Non Executive Director, Chair



Peter has over 40 years' experience in the superannuation and investment sectors which includes senior executive roles in Asia Pacific and a diverse career in financial services in Australia and New Zealand.

Peter was appointed a non executive Director of NULIS Nominees (Australia) Limited in December 2017 and became the Chair of NULIS Nominees (Australia) Limited in July 2018. He is also a member of the Masterkey and Plum Investment Committee, the Risk and Audit Committee and the Successor Fund Transfer Committee.

Peter is also currently Chair of Australian Unity Limited and its operating subsidiaries, a non-executive director of Warakirri Asset Management Pty Ltd and was previously Chair of ASX-listed SFG Australia Limited.

Peter's career highlights include Asia Pacific CEO for Mercer and Chairman for Australia at Marsh & McLennan Inc.

Qualifications:

- Fellow, Australian Institute of Company Directors
- Fellow, Institute of Actuaries of Australia
- Bachelor of Science (Mathematics and Statistics), University of Adelaide

Terry McCredden

Independent Non Executive Director



Terry has extensive experience in the Australian superannuation industry with a very strong knowledge of investment markets, including: asset allocation; internal asset management, and selection of external managers.

Prior to retirement, Terry was Chief Executive Officer for UniSuper and was previously Chief Executive Officer for Telstra Super Pty Limited. Terry has also held roles as the Director of Telstra Super Financial Planning Pty Limited, Superannuation Project Manager for Telstra Corporation (then Telecom Australia), Chief Executive Officer for State Electricity Commission of Victoria (SECV) Superannuation Fund and several executive positions in SECV's Treasury Department.

Over this period, Terry also served on the Boards of ASFA (Association of Super Funds Australia), FEAL (Fund Executives Association Limited) and the Centre for Investor Education. In addition, Terry was a member of the Superannuation Advisory Committee, a committee reporting to the Federal Government's Ministers of Superannuation (Sherry, Bowen & Shorten) from March 2008 until July 2013.

Terry is a non executive Director of NULIS Nominees (Australia) Limited. He is also the Chair of the Disclosure Governance Committee and the Insurance Committee and a Member of the Masterkey and Plum Investment Committee.

Qualifications:

- Proteus Leadership Course, London Business School
- Advanced Management Program, Wharton School of Management, University of Pennsylvania
- Advanced Management Course, Graduate School of Management, University of Melbourne
- Bachelor of Commerce (Honours), University of Melbourne

Peggy O'Neal

Independent Non Executive Director



Peggy is a senior superannuation and financial services lawyer and is employed by Lander & Rogers as a consultant. She was previously a partner at Herbert Smith Freehills for several years. She was a consultant to the Commonwealth Treasury on the Super System Review, known as the 'Cooper Review'.

She has significant credentials in superannuation, the law, business and the community. Peggy is a former Chair of the Law Council of Australia Superannuation Committee and remains an emeritus member of that Committee. She is also a Director of Women's Housing Limited, the Commonwealth Superannuation Corporation, Infrastructure Specialist Asset Management Limited and is an Ambassador for Opportunity International Australia.

Peggy is a non executive Director of NULIS Nominees (Australia) Limited. She is also Chair of the Successor Fund Transfer Committee and a Member of the Risk and Audit Committee and the Disclosure Governance Committee.

Peggy is also President of the Richmond Football Club Limited and in 2015 chaired an Inquiry on Women and Girls in Sport and Active Recreation for the Victorian Minister of Sport. In August 2017 she was appointed to Victoria's Ministerial Council on Women's Equality.

Qualifications:

- Fellow, Australian Institute of Company Directors
- Diploma in Superannuation Management, Macquarie University
- Professional qualification courses, University of Melbourne
- Juris Doctor, University of Virginia, USA
- Bachelor of Arts, Virginia Polytechnic Institute and State University, USA

Alan Schoenheimer

Independent Non Executive Director



Alan's career in financial services began in 1991 with Russell Investments, where he managed the consulting relationships for large Australian superannuation funds. During this period Alan started developing Russell's Asian business before being appointed Managing Director, Russell Retail Investments where he built its Australian Retail business to \$3bn in 'Funds Under Management'. Further senior appointments and Directorships with Russell followed, including appointment as Chief Executive Asia Pacific in 2001 and Head of International (London based) in 2009. Alan returned to Australia in 2012 and retired from fulltime employment in 2014.

Prior to his financial services career, Alan worked for various petrochemical enterprises as a design engineer in Australia, UK, USA and South Africa, before working for McKinsey & Co.

Alan's varied roles and experience has provided him with an in depth knowledge of the Australian superannuation and Funds Management industries.

Alan is a non executive Director of NULIS Nominees (Australia) Limited. He is also Chair of the Masterkey and Plum Investment Committee and a Member of the Super Wrap and IDPS Committee.

Alan is also a Director of Wealth Defender Equities Pty Limited, an Australian Listed Investment Company.

Qualifications:

- Master of Business Administration, University of New South Wales
- Bachelor of Engineering (Chemical) (First Class Hons), University of Queensland

Peeyush Gupta

Non Executive Director



Peeyush has over 30 years of experience in wealth management. He was a co-founder and the inaugural CEO of IPAC Securities, a pre-eminent wealth management firm spanning financial advice and institutional portfolio management, which was acquired by AXA. He also has extensive corporate governance experience, having served as a Director on many corporate, not-for-profit, trustee and responsible entity boards since the 1990s. He is a non-executive Director of NAB Limited and several NAB Wealth and BNZ subsidiaries.

Peeyush is a non executive Director of NULIS Nominees (Australia) Limited and a Member of the Super Wrap & IDPS Investment Committee.

Peeyush is also a Director of Insurance & Care NSW (iCare), Quintessence Labs Pty Ltd, SBS Special Broadcasting Service Board (SBS) and Link Administration Holdings Ltd. He is the Chairman of Charter Hall Wale Ltd and Charter Hall Direct Property Management Ltd, and a Trustee of the Western Sydney University.

Qualifications:

- Master of Business Administration, Australian Graduate School of Management
- Bachelor of Arts (Computing Studies), Canberra University
- Fellow, Australian Institute of Company Directors

Andrew Gale

Non Executive Director



Andrew has over 35 years' financial services experience, including roles as Executive Director of Chase Corporate Advisory, CEO and Managing Director of Count Financial Limited, and Managing Partner of Deloitte Actuaries & Consultants. He has also held senior executive roles with MLC/Lend Lease and AMP.

Andrew is a non executive Director of NULIS Nominees (Australia) Limited. He is also the Chair of the Super Wrap and IDPS Investment Committee and the Risk and Audit Committee and a Member of the Insurance Committee.

Andrew joined the NAB Advice & Licences Board as an independent Non Executive Director in May 2014, and is a Member of its Investment Committee. He was appointed to the MLC Life & Administrator (L&A) Board in December 2014, and resigned from this board 3rd October 2016 at the conclusion of the sale of 80% of MLC Life to Nippon Life Insurance Company. Whilst on the MLC L&A Board, he chaired this Board's Risk Committee, was a member of its Audit Committee, and chaired the Board Committee overseeing the sale transaction of MLC Life Insurance to Nippon.

Andrew has been strongly involved in the actuarial profession over many years including through Council (2001-5), its Executive Committee (2003–2005) and President for the Institute of Actuaries of Australia (2005). Andrew has been active in industry and regulatory issues, including through his roles with the Institute of Actuaries, as a member of the Financial Services Council (FSC) Advice Board Committee, Chair of the Accountant Financial Adviser Coalition (AFAC), his prior role as Chairman of the SMSF Association and his prior executive roles.

Andrew has had a strong involvement in the not for profit sector, especially through various Global Access Partners (GAP) initiatives including the Working Group on Education and Training in Philanthropy and Social Investment, Founding Director of the Society for Knowledge Economics (2006-7), member of GAP Task Force on Progress in Society (2011-12) and Chairman of the Australian Society for Progress & Wellbeing. He is a member of Alzheimer's Australia Working Group on 'Financial Abuse of Older People', and an ambassador for Capacity Australia.

Qualifications:

- Fellow, Australian Institute of Company Directors
- Fellow, Institute of Actuaries of Australia
- Master of Business Administration, Macquarie University
- Bachelor of Arts (Actuarial), Macquarie University

Geoff Lloyd

Chief Executive Officer, MLC



Geoff is currently Chief Executive Officer, MLC, having been appointed to this position in September 2018. Geoff is responsible for managing the separation of the NAB Wealth business from NAB Limited in the best interests and reasonable expectations of the superannuation members and ensuring the obligations of NULIS Nominees (Australia) Limited continue to be met.

Prior to joining MLC Geoff was CEO and Managing Director Perpetual Limited. Whilst at Perpetual Geoff and his senior leadership team delivered Perpetual's successful strategy designed to simplify, refocus and grow the company. Under Geoff's leadership, Perpetual grew through initiatives such as the acquisition of The Trust Company, the launch new investment capabilities in Perpetual Investments, a targeted segment strategy in Perpetual Private and innovative extensions in Perpetual Corporate Trust.

Geoff commenced at Perpetual in 2010 as Group Executive Private Wealth and became Managing Director and CEO in 2012. Prior to that, he held a number of senior roles at BT Financial Group and St George's Wealth Management business including, Group Executive, Wealth, General Manager, Advice and Private Banking and Chief Legal Counsel.

He is the Chair of the Financial Services Council, an Advisory Board member of The Big Issue, and the Patron of the Financial Industry Community Aid Program (FICAP). He is also Chair of National Wealth Management Services Limited, the superannuation service provider for NULIS and a patron of the Emerge Foundation, a signatory to the Banking and Finance Oath and the Chair of the University of Technology Sydney Law Advisory Board.

Geoff has over 20 years' experience in the financial services industry and has an extensive understanding of the industry and demonstrated leadership skills.

Qualifications:

- Master of Laws (Distinction), University of Technology, Sydney
- Graduate, Harvard University Advanced Management Program (U.S.)

Brian Marriott
Chief Operating Officer



Brian has held diverse executive roles in superannuation and investment spanning more than 25 years, including leading service delivery and operations at Colonial First State.

Brian has held a number of senior roles in the Wealth division of NAB across operations and strategic change projects, and for the past 12 years as Chief Operating Officer of the NAB Wealth superannuation Trustee entities, MLC Nominees Pty Limited, NULIS Nominees (Australia) Limited and PFS Nominees Pty Limited.

Qualifications:

- N/A

Damian Murphy

Chief Risk Officer, Wealth



Damian is the Chief Risk Officer, Wealth and has been Chief Risk Officer for NAB's Wealth division under various titles since 2013. Damian is responsible for providing strategic risk advice, oversight and guidance to drive business performance and stronger risk management outcomes for NAB's Wealth businesses.

Damian joined NAB as a Corporate Lawyer in 1993 and since then has held senior legal roles in Project and Structured Finance, General Commercial and Corporate, Retail Banking & Operations, Wealth Management, Institutional Banking and Capital Markets. He has also held senior risk management roles and has been the chair of several NAB subsidiaries. Prior to joining NAB, Damian worked at a leading Australian law firm.

Qualifications:

- Admitted to Supreme Court of Victoria, New South Wales and Federal Court of Australia
- Master of Laws, University of Melbourne
- Bachelor of Laws, Monash University
- Bachelor of Economics (Honours), Monash University

Shane Harvey

General Manager Finance, Customer Products & Services, Wealth



Shane Harvey was appointed General Manager Finance, Customer Products & Services, Wealth in April 2018, having been General Manager Finance Partner, Wealth Products since October 2016. Shane is responsible for NULIS and National Wealth Management Services Limited finance obligations. He is also a Director of National Wealth Management Services Limited.

Shane has held several other positions in NAB, including General Manager Finance Partner NAB Wealth, Head of Finance for Advice and Marketing and Head of Decision Support for Investment Management, Corporate and Advice.

Shane also worked abroad in finance roles at global financial institutions in the UK.

Qualifications:

- Graduate Member, Australian Institute of Company Directors
- Master of Business Administration (Executive), Australian Graduate School of Management
- Chartered Accountant, Institute of Chartered Accountants in Australia
- Bachelor of Business (Accounting), Charles Sturt University

Garry Mulcahy

Executive General Manager, Super and Solutions



Garry is the Executive General Manager, Super and Solutions and is responsible for transformation programs across the NAB Wealth business, the strategic direction of the Asset Management business in Corporate Super, Asset Management, Wealth Products and Platforms, Wealth and Asset Management Services, Wealth Product and Platform Enablement, and Wealth Marketing and Customer Strategy.

Originally joining MLC in 1991, which was subsequently acquired by the NAB Group in 2000, Garry has held a number of senior roles in both Australia and the UK in Product Management, Asset Management, Distribution and General Management.

Garry has been a Director of a number of NAB Group companies covering both Banking and Wealth Management and is currently a Director of National Wealth Management Services Limited, MLC Nominees Pty Limited and of several NAB Asset Management boutique partners.

Qualifications:

- Bachelor of Arts (Economics), UNSW

Amanda Morgan

General Manager Audit, Customer Experience, Consumer Banking & Wealth



Amanda is General Manager Audit, Customer Experience, Consumer & Wealth, where she has responsibility for leading audit teams for NAB's Consumer & Wealth Division including for the Superannuation Trustee, Responsibility Entity and IDPS Operator. Amanda is a director of Wealthhub Securities Limited and Wealthhub Securities Nominees Pty Limited which are service providers to NULIS and is also a member of NAB Group's Whistleblower Committee.

Amanda has 20 years experience in financial services' governance including roles in legal, company secretarial, risk and compliance and audit. Previously she was a journalist writing for and about the finance industry.

Qualifications:

- Certified Internal Auditor, Institute of Internal Auditors Inc.
- Executive Master of Business Administration, Australian Graduate School of Management
- Accredited Coach of the Institute of Executive Coaching
- Graduate, Certificate in Change Management, Australian Graduate School of Management
- Graduate, Australian Institute of Company Directors
- Fellow, Australasian Compliance Institute
- Bachelor of Law (Honors), University of Technology Sydney
- Bachelor of Communications, University of Technology Sydney

Jonathan Armitage

General Manager, Asset Management & Chief Investment Officer,



Jonathan was appointed General Manager, Asset Management & Chief Investment Officer in April 2018. Jonathan is responsible for leading the investment teams and for the investment outcomes of the MLC portfolios.

Jonathan joined National Australia Bank in August 2011 as Global Equities Portfolio Manager, was then appointed as Head of Equities in December 2011 and Chief Investment Officer, NAB Asset Management in March 2013 before moving to his current role.

Jonathan has over 25 years' investment experience, having worked in the U.K, Australia and the U.S. prior to joining NAB, Jonathan spent nearly 20 years with Schrodgers in the U.K. as the Head of U.S. Equities and then as a Portfolio Manager on the Global Equity team which managed over US\$15b of assets for institutional and retail clients.

Qualifications:

- Master of Arts, University of Cambridge (UK)
- Associate, Institute of Investment Management and Research (UK), now the CFA Society of the UK.

Russell Jansen

General Manager, Wealth Operations



Russell was appointed General Manager, Wealth Operations in November 2014. Russell is responsible for the overall operations of NAB's Wealth Division.

Russell joined National Australia Bank in November 2008 and has held several senior management positions including the roles of State General Manager, Queensland and General Manager, Plum and Wrap Operations before moving to his current role.

Russell has over 35 years' experience in financial services and graduated with a Masters of Business Administration from the Manchester Metropolitan University in 2004.

Qualifications:

- Master of Business Administration (MBA), Manchester Metropolitan University (UK)
- Graduate, Australian Institute of Company Directors