

Register of Relevant Duties and Relevant Interests

PFS Nominees Pty Limited ('the Trustee')

Relevant duties

A 'duty', for the purposes of the Register would generally refer to a commitment or obligation to a company entity (including an RSE Licensee, a related party entity or an external entity) which must be carried out by the respective individual without having regard to their own personal self-interest. For example, a director, officer or secretary of a corporation as defined by the Corporations Act 2001 and/or other relevant legislation.

A duty of a Responsible Person would be relevant to the Trustee where that duty:

1. directly relates to the activities of the Trustee and involves making decisions, participating in decision making or managerial responsibility for activities which could materially affect the Trustee's business operations or its financial standing.
2. is indirectly connected to the activities of the Trustee (eg. service provider, consultant/advisor or competitor) and:
 - a. having that separate duty may potentially impair or interfere with the ability of the Responsible Person to exercise independent judgement in the best interests of beneficiaries of the Trustee; and/or
 - b. the Responsible Person, when performing those separate duties, could potentially engage in activities which may impact upon the interests of beneficiaries of the Trustee.

Relevant interests

An "interest", for the purpose of the Register, refers to interest, gift, emolument or benefit (whether pecuniary or non-pecuniary) held by the respective individual or a member of their family.

An interest held by a Responsible Person may be relevant to the Trustee where:

- the performance of the Trustee or its RSEs, or decisions made by the Trustee (and/or its service providers on its behalf) could impact upon the worth of the interest held by the Responsible Person; and/or
- the interest could reasonably be considered to have the potential to influence the Responsible Person who holds the interest to act in a manner which is inconsistent with the best interests of beneficiaries of the Trustee.

Register of Relevant Duties and Relevant Interests

The Trustee's core activities consist of its discharge of duties as the trustee of Plum Superannuation Fund, Plum Pooled Superannuation Trust, BHP Billiton Superannuation Fund, Worsley Alumina Superannuation Fund and National Australia Bank Group Superannuation Fund A (each an 'RSE' for the purpose of this register). The Trustee outsources administration and other services to Plum Financial Services Limited and other service providers. Plum Financial Services Limited is part of the wealth management division of the National Australia Bank Limited ('NAB'). References to 'NAB Wealth' include Plum Financial Services Limited.

Name	Position	Responsibilities	Relevant Duties ^	Relevant Interests
Nicole Smith	Independent Non-Executive Director	A member of the Trustee Board which is responsible for conducting the activities of the Trustee and ensuring compliance with relevant laws (eg. the Corporations Act 2001 and the Superannuation Industry (Supervision) Act 1993). Chair of the Trustee Board.	<ul style="list-style-type: none"> • Director of: <ul style="list-style-type: none"> ○ MLC Nominees Pty Limited ○ NULIS Nominees (Australia) Limited ○ PFS Nominees Pty Limited 	
Peggy O'Neal	Independent Non-Executive Director	A member of the Trustee Board which is responsible for conducting the activities of the Trustee and ensuring compliance with relevant laws (eg. the Corporations Act 2001 and the Superannuation Industry (Supervision) Act 1993). Chair of the Successor Fund Transfer Committee of the Trustee Board.	<ul style="list-style-type: none"> • Director of: <ul style="list-style-type: none"> ○ MLC Nominees Pty Limited ○ NULIS Nominees (Australia) Limited ○ PFS Nominees Pty Limited • Independent Committee Member of: <ul style="list-style-type: none"> ○ External Compliance Committee, Vanguard Investments Australia 	<ul style="list-style-type: none"> • Holder of NAB shares directly and in SMSF
Terry McCredden	Independent Non-Executive Director	A member of the Trustee Board which is responsible for conducting the activities of the Trustee and ensuring compliance with relevant laws (eg. the Corporations Act 2001 and the Superannuation Industry (Supervision) Act 1993).	<ul style="list-style-type: none"> • Director of: <ul style="list-style-type: none"> ○ MLC Nominees Pty Limited ○ NULIS Nominees (Australia) Limited ○ PFS Nominees Pty Limited 	
Evelyn Horton	Independent Non-Executive Director	A member of the Trustee Board which is responsible for conducting the activities of the Trustee and ensuring compliance with relevant laws (eg. the Corporations Act 2001 and the Superannuation Industry (Supervision) Act 1993). Chair of the Audit Committee of the Trustee Board.	<ul style="list-style-type: none"> • Director of: <ul style="list-style-type: none"> ○ MLC Nominees Pty Limited ○ NULIS Nominees (Australia) Limited ○ PFS Nominees Pty Limited 	
John Reid	Non-Executive Director	A member of the Trustee Board which is responsible for conducting the activities of the Trustee and ensuring compliance with relevant laws (eg. the Corporations Act 2001 and the Superannuation Industry (Supervision) Act 1993). Chair of Disclosure Governance Committee of the Trustee Board.	<ul style="list-style-type: none"> • Director of: <ul style="list-style-type: none"> ○ MLC Nominees Pty Limited ○ NULIS Nominees (Australia) Limited ○ PFS Nominees Pty Limited 	<ul style="list-style-type: none"> • Member of Plum Superannuation Fund • Holder of NAB shares directly and in self-managed super fund

^ Refer to page 9 for explanation of Relevant Duties

Name	Position	Responsibilities	Relevant Duties ^	Relevant Interests
Trevor Hunt	Non-Executive Director	A member of the Trustee Board which is responsible for conducting the activities of the Trustee and ensuring compliance with relevant laws (eg. the Corporations Act 2001 and the Superannuation Industry (Supervision) Act 1993). Chair of the Super Wrap and IDPS Investment Committee of the Trustee Board. Acting Chair of the MLC Nominees and PFS Nominees Investment Committee.	<ul style="list-style-type: none"> • Director of: <ul style="list-style-type: none"> ○ MLC Nominees Pty Limited ○ NULIS Nominees (Australia) Limited ○ PFS Nominees Pty Limited • Director of: <ul style="list-style-type: none"> ○ Apogee Financial Planning Ltd. ○ Godfrey Pembroke Limited ○ GWM Adviser Services Limited ○ Meritum Financial Group Pty Limited 	<ul style="list-style-type: none"> • Holder of NAB shares
Matthew Lawrance	Executive General Manager, Wealth Operations & Transformation	Responsible for managing delivery of service functions for the NAB Wealth business, including customer and adviser servicing, day-to-day administration and online services.	<ul style="list-style-type: none"> • Employee of National Australia Bank Limited • Director of National Wealth Management Services Limited 	<ul style="list-style-type: none"> • Holder of NAB shares directly and in self-managed super fund • Eligible for both short and long term NAB employee incentive plans • Member of the National Australia Bank Group Superannuation Fund A
Brian Marriott	Chief Operating Officer, PFS Nominees Pty Limited	Responsible for the day-to-day operations of the Trustee. Responsible for ensuring the functions of the Trustee Board comply with the relevant laws (eg. The Corporations Act 2001 and the Superannuation Industry (Supervision) Act 1993) and regulations.	<ul style="list-style-type: none"> • Chief Operating Officer of MLC Nominees Pty Limited and NULIS Nominees (Australia) Limited • Company Secretary of MLC Nominees Pty Limited, NULIS Nominees (Australia) Limited and PFS Nominees Pty Limited • Employee of National Australia Bank Limited 	<ul style="list-style-type: none"> • Member of Plum Superannuation Fund • Holder of NAB shares • Spouse is a holder of NAB shares • Eligible for both short and long term NAB employee incentive plans
Andrew Hagger	Group Executive, NAB Wealth	Head of the wealth management division of National Australia Bank.	<ul style="list-style-type: none"> • Director of: <ul style="list-style-type: none"> ○ Plum Financial Services Limited ○ MLC Limited • Employee of National Australia Bank Limited 	<ul style="list-style-type: none"> • Holder of NAB shares directly and in self-managed super fund • Eligible for both short and long term NAB employee incentive plans • Member of the National Australia Bank Group Superannuation Fund A
Ken Christie	Executive General Manager, Chief Financial Officer, NAB Wealth	Responsible for the financial management of NAB Wealth.	<ul style="list-style-type: none"> • Employee of National Australia Bank Limited 	<ul style="list-style-type: none"> • Holder of NAB shares • Eligible for both short and long term NAB employee incentive plans • Member of the National Australia Bank Group Superannuation Fund A

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Name	Position	Responsibilities	Relevant Duties ^	Relevant Interests
Damian Murphy	Chief Risk Officer, NAB Wealth	Responsible for coordinating the risk management framework for NAB Wealth.	<ul style="list-style-type: none"> Employee of National Australia Bank Limited 	<ul style="list-style-type: none"> Holder of NAB shares Eligible for both short and long term NAB employee incentive plans Member of the National Australia Bank Group Superannuation Fund A
Simon Martin	General Manager, Audit	Responsible for leading the NAB Wealth audit team which undertakes audit activities on behalf of the Trustee.	<ul style="list-style-type: none"> Employee of National Australia Bank Limited 	<ul style="list-style-type: none"> Holder of NAB shares Eligible for short term NAB employee incentive plans Member of National Australia Bank Group Superannuation Fund A
Garry Mulcahy	Executive General Manager, Asset Management	Responsible for the development and maintenance of investment strategies and investment governance frameworks of NAB Wealth including JANA Investment Advisers Pty Limited.	<ul style="list-style-type: none"> Employee of National Australia Bank Limited Director of: <ul style="list-style-type: none"> Antares Capital Partners Limited MLC Investments Limited JANA Investment Advisers Pty Limited NabInvest Capital Partners (NCP) JANA Corporate Investment Services (JCIS) National Wealth Management Services Limited 	<ul style="list-style-type: none"> Holder of NAB shares Eligible for both short and long term NAB employee incentive plans
Paul Carter	Executive General Manager, Superannuation & Investment Platforms	Responsible for the development of corporate and retail superannuation products to meet the financial needs of customers over their lifetime, from accumulation phase to planning for retirement...	<ul style="list-style-type: none"> Director of JANA Investment Advisers Pty Limited Employee of National Australia Bank Limited 	<ul style="list-style-type: none"> Holder of NAB shares Eligible for both short and long term NAB employee incentive plans Member of the National Australia Bank Group Superannuation Fund A
Diane Somerville	RSE Actuary	<p>Actuary appointed to perform actuarial functions for the Trustee.</p> <p>Consultant to employer sponsors in relation to their superannuation/employee benefits, including accounting reporting requirements.</p> <p>Consultant to employer sponsors in relation to the structure of their defined benefit superannuation plans.</p>	<ul style="list-style-type: none"> RSE actuary for sub-plans of Plum Superannuation Fund, as determined by the Trustee Consultant to employer sponsors of sub-plans of Plum Superannuation Fund Employee of Deloitte Services Pty Ltd 	
Ben Facer	RSE Actuary	<p>Actuary appointed to perform actuarial functions for the Trustee.</p> <p>Consultant to employer sponsor in relation to</p>	<ul style="list-style-type: none"> RSE actuary for sub-plans of Plum Superannuation Fund, as determined by the Trustee Consultant to employer sponsor of sub-plan of 	

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Name	Position	Responsibilities	Relevant Duties ^	Relevant Interests
		superannuation/employee benefits, including accounting reporting requirements.	<ul style="list-style-type: none"> Plum Superannuation Fund Employee of Deloitte Services Pty Ltd 	
David O'Keefe	RSE Actuary	<p>Actuary appointed to perform actuarial functions for the Trustee.</p> <p>Consultant to employer sponsors in relation to their superannuation/employee benefits, including accounting reporting requirements.</p>	<ul style="list-style-type: none"> Director of ALEA Actuarial Consulting Pty Limited RSE actuary for sub-plans of Plum Superannuation Fund Consultant to employer sponsors of sub-plans of Plum Superannuation Fund 	
Guy Holley	RSE Actuary	<p>Actuary appointed to perform actuarial functions for the Trustee.</p> <p>Consultant to employer sponsors in relation to their superannuation/employee benefits, including accounting reporting requirements.</p>	<ul style="list-style-type: none"> RSE actuary for sub-plans of Plum Superannuation Fund, as determined by the Trustee Consultant to employer sponsors of sub-plans of Plum Superannuation Fund Employee of Mercer Consulting (Australia) Pty Ltd 	
Richard Codron	RSE Actuary	<p>Actuary appointed to perform actuarial functions for the Trustee.</p> <p>Consultant to employer sponsors in relation to their superannuation/employee benefits, including accounting reporting requirements.</p>	<ul style="list-style-type: none"> RSE actuary for sub-plans of Plum Superannuation Fund, as determined by the Trustee Consultant to employer sponsors of sub-plans of Plum Superannuation Fund Employee of Mercer Consulting (Australia) Pty Ltd 	<ul style="list-style-type: none"> Directly holds shares and controls trusts including Commonwealth Banking Corporation shareholdings
David McNeice	RSE Actuary	<p>Actuary appointed to perform actuarial functions for the Trustee.</p> <p>Consultant to employer sponsors in relation to their superannuation/employee benefits, including accounting reporting requirements.</p>	<ul style="list-style-type: none"> RSE actuary for sub-plans of Plum Superannuation Fund, as determined by the Trustee Consultant to employer sponsors of sub-plans of Plum Superannuation Fund Employee of Towers Watson Australia Pty Ltd 	
Nicholas Wilkinson	RSE Actuary	<p>Actuary appointed to perform actuarial functions for the Trustee.</p> <p>Consultant to employer sponsor in relation to their superannuation/employee benefits, including accounting reporting requirements.</p>	<ul style="list-style-type: none"> RSE actuary for sub-plans of Plum Superannuation Fund, as determined by the Trustee Consultant to employer sponsors of sub-plans of Plum Superannuation Fund Employee of Towers Watson Australia Pty Ltd 	
Phil Patterson	RSE Actuary	<p>Actuary appointed to perform actuarial functions for the Trustee.</p>	<ul style="list-style-type: none"> RSE actuary for sub-plans of Plum Superannuation Fund, as determined by the Trustee 	

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Name	Position	Responsibilities	Relevant Duties ^	Relevant Interests
		Consultant to employer sponsors in relation to their superannuation/employee benefits, including accounting reporting requirements.	<ul style="list-style-type: none"> Consultant to employer sponsors of sub-plans of Plum Superannuation Fund Employee of Towers Watson Australia Pty Ltd 	
Nerida Seccombe	RSE Actuary	<p>Actuary appointed to perform actuarial functions for the Trustee.</p> <p>Consultant to employer sponsors in relation to their superannuation/employee benefits, including accounting reporting requirements.</p>	<ul style="list-style-type: none"> RSE actuary for sub-plans of Plum Superannuation Fund, as determined by the Trustee Consultant to employer sponsors of sub-plans of Plum Superannuation Fund Director of The Heron Partnership Pty Limited 	
Anthony Miller	RSE Actuary	<p>Actuary appointed to perform actuarial functions for the Trustee.</p> <p>Consultant to employer sponsors in relation to their superannuation/employee benefits, including accounting reporting requirements.</p>	<ul style="list-style-type: none"> RSE actuary for sub-plans of Plum Superannuation Fund, as determined by the Trustee RSE actuary for BHP Billiton Superannuation Fund Consultant to BHP Billiton Limited Employee of Russell Investments Employee Benefits Pty Limited 	
David Scott	RSE Actuary	<p>Actuary appointed to perform actuarial functions for the Trustee.</p> <p>Consultant to employer sponsor in relation to their superannuation/employee benefits, including accounting reporting requirements</p>	<ul style="list-style-type: none"> RSE actuary for sub-plans of Plum Superannuation Fund, as determined by the Trustee Consultant to employer sponsors of sub-plans of Plum Superannuation Fund Employee of Mercer Consulting (Australia) Pty Ltd RSE actuary to other funds which are competitors of PFS Nominees Pty Ltd 	<ul style="list-style-type: none"> Holder of NAB shares Holder of competitor shares
Kathryn Maartensz	RSE Actuary	<p>Actuary appointed to perform actuarial functions for the Trustee.</p> <p>Consultant to employer sponsor in relation to their superannuation/employee benefits, including accounting reporting requirements.</p>	<ul style="list-style-type: none"> RSE actuary and superannuation consultant for sub-plans of Plum Superannuation Fund, as determined by the Trustee RSE actuary for Worsley Alumina Superannuation Fund and National Australia Bank Group Superannuation Fund A Consultant to employer sponsors of sub-plans of Plum Superannuation Fund Employee of Russell Investments Employee Benefits Pty Limited 	
Brad Jeffrey	RSE Actuary	Actuary appointed to perform actuarial functions for the	<ul style="list-style-type: none"> RSE actuary and superannuation consultant for sub-plans of Plum Superannuation Fund, as 	

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Name	Position	Responsibilities	Relevant Duties ^	Relevant Interests
		Trustee. Consultant to employer sponsor in relation to their superannuation/employee benefits, including accounting reporting requirements.	determined by the Trustee <ul style="list-style-type: none"> Consultant to employer sponsors of sub-plans of Plum Superannuation Fund Employee of Towers Watson Australia Pty Ltd 	
Cangie Matera	Company Secretary	Responsible for ensuring the functions of the Trustee Board comply with the relevant laws (eg. the Corporations Act 2001 and the Superannuation Industry (Supervision) Act 1993) and regulations. Implementation and management of an appropriate governance framework for the Trustee Board. Day to day representative of the Trustee Board, assisting and guiding management in matters pertaining to the board.	<ul style="list-style-type: none"> Company Secretary of: <ul style="list-style-type: none"> MLC Nominees Pty Limited NULIS Nominees (Australia) Limited PFS Nominees Pty Limited Employee of National Australia Bank Limited 	<ul style="list-style-type: none"> Holder of NAB shares Eligible for both short and long term NAB employee incentive plans Member of the National Australia Bank Group Superannuation Fund A
Lisa Boyce	MLC Nominees and PFS Nominees Investment Committee	Participates in decision making of the MLC Nominees and PFS Nominees Investment Committee which has delegated authority defined by its charter.	<ul style="list-style-type: none"> Member of Trustee Board Committee Employee of National Australia Bank Limited Director of Fairview Equity Partners Pty Ltd 	<ul style="list-style-type: none"> Member of the Plum Superannuation Fund. Holder of NAB shares Eligible for short term NAB employee incentive plan
Simonne Hogan	Executive member of the Disclosure Governance Committee	Participates in decision making of the Disclosure Governance Committee which has delegated authority, defined by its charter, from PFS Nominees Pty Limited.	<ul style="list-style-type: none"> Member of Trustee Board Committee Employee of National Australia Bank Limited 	<ul style="list-style-type: none"> Member of National Australia Bank Group Superannuation Fund A Holder of NAB shares Eligible for both short and long term NAB employee incentive plans
Roger Rowlinson	Member of the Disclosure Governance Committee	Participates in decision making of the Disclosure Governance Committee which has delegated authority, defined by its Charter, from PFS Nominees Pty Limited	<ul style="list-style-type: none"> Member of Trustee Board Committee 	<ul style="list-style-type: none"> Holder of NAB shares
John Coombe	Executive member of the MLC Nominees and PFS Nominees Investment Committee	Participates in decision making of the MLC Nominees and PFS Nominees Investment Committee which has delegated authority defined by its charter.	<ul style="list-style-type: none"> Member of Trustee Board Committee Employee of National Australia Bank Limited Representative of JANA Investment Advisers Pty Ltd Director of JANA Investment Advisers Pty Ltd 	<ul style="list-style-type: none"> Member of the National Australia Bank Group Superannuation Fund A Holder of NAB Shares Eligible for both short and long term NAB employee incentive schemes
Maree Pallisco	RSE Auditor	Auditor appointed by the Trustee to audit its RSEs.	<ul style="list-style-type: none"> RSE Auditor for Plum Superannuation Fund, Plum Pooled Superannuation Trust, BHP Billiton Superannuation Fund, Worsley Alumina Superannuation Fund & National Australia Bank Group Superannuation Fund A 	

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Name	Position	Responsibilities	Relevant Duties ^	Relevant Interests
			<ul style="list-style-type: none"> Partner of Ernst & Young 	
Sam Underhill	RSE Actuary	<p>Actuary appointed to perform actuarial functions for the Trustee.</p> <p>Consultant to employer sponsor in relation to their superannuation/employee benefits, including accounting reporting requirements.</p>	<ul style="list-style-type: none"> RSE actuary and superannuation consultant for sub-plans of Plum Superannuation Fund, as determined by the Trustee Consultant to employer sponsors of sub-plans of Plum Superannuation Fund Employee of Russell Investments Employee Benefits Pty Limited 	

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GUIDE TO RELEVANT DUTIES

The duty that persons listed in this Register have to other companies/organisations are relevant to the duties those persons have to the Trustee for the reasons outlined below:

REASON FOR RELEVANCE	COMPANY OR ORGANISATION		
<p>These companies are other superannuation trustees that are governed by the same Board of Directors as the Trustee. In addition to their duties to the Trustee, the person listed also has a duty to this company.</p>	<p>NULIS Nominees (Australia) Limited MLC Nominees Pty Limited</p>		
<p>These companies are service providers to the Trustee. In addition to their duties to the Trustee, the person listed also has a duty to this company.</p>	<p>National Wealth Management Services Limited Ernst & Young Plum Financial Services Limited Russell Investment Management Ltd</p>	<p>Mercer Consulting (Australia) Pty Limited Towers Watson Australia Pty Ltd Russell Employee Benefits Pty Limited The Heron Partnership Pty Limited</p>	<p>ALEA Actuarial Consulting Pty Limited Deloitte Services Pty Limited JANA Investment Advisers Pty Limited National Australia Bank Limited</p>
<p>These companies are product providers to the Trustee. In addition to their duties to the Trustee, the person listed also has a duty to this company.</p>	<p>MLC Limited MLC Investments Limited Antares Capital Partners Limited Fairview Equity Partners Pty Ltd NabInvest Capital Partners (NCP) JANA Corporate Investment Services (JCIS)</p>		
<p>The company/organisation performs the function shown. In addition to their duties to the Trustee, the person listed also has a duty to this company/organisation.</p>	<p>Vanguard Investments Australia: a company that offers investment products directly to investors and also through superannuation funds</p>		